



Compliant and Reliant

End-to-end risk mitigation implemented under acute deadlines



Financial Services



Cybersecurity



Global Risk and Compliance (GRC) policies, SOX

BACKSTORY

A leading financial services company carrying out a large merger encountered an urgent need for support handling complex Global Risk and Compliance (GRC) policies, regulations, and SOX implementation. With merger-related deadlines looming, it was imperative for the client to test for GRC standards to better manage risks and meet specific compliance requirements. The client had under 30 days to build and scale a team to support this initiative, and sought partnership to deliver complex application testing, development, and execution toward GRC standards.

SOLUTION

The Select Group was called in to support the client's post-merger integration, rapidly scaling a team to meet an urgent timeline. From writing the testing plans to performing the actual control testing, TSG leveraged deep integration experience to provide end-to-end risk assessment support and process improvements throughout the merger process.

TSG MANAGED SOLUTIONS

● Solution Development

Through TSG's consultative approach, we established a lean, customized, and cost-effective plan to rapidly scale and deliver a successful compliance project.

● Building & Scaling Teams

Our teams remained up to date on the latest industry standards for compliance and were able to scale cross-functional teams with the required skillsets to meet the client's strict deadline.

● Service Delivery

Through effective project and engagement oversight, TSG delivered process improvement plans, relevant training modules, and budget tracking deliverables that allowed the client to meet its compliance testing objectives.

Project Outcomes

- ✓ Enabling the client to meet compliance and risk regulations for merger approval, TSG impacted the daily lives of over 10 million customers by ensuring safe financial transactions.
- ✓ Leading the client's control testing and risk assessments and leveraging a proactive approach to risk mitigation, TSG ensured the security and privacy of customer information.
- ✓ Owning the GRC project's financial performance and providing business process improvements, TSG managed and delivered merger-related projects on an aggressive timeline.